

# Thermal Field Theory and Instantons

...in QCD

Ralf Rapp (NORDITA) , Gausdal, 08.+09.01.03

1.) Introduction : QCD and its Phase Diagram

2.) Elements of Finite-T Field Theory

- Partition Function + Free Fields
- Interactions : Mean-Field Approximation

3.) Instantons + QCD Vacuum

- Single-Instanton Solution + Interpretation
- Instanton Interactions + Ensemble
- Light Quarks + Chiral Symmetry Breaking

4.) Finite-T Chiral Phase Transition

5.) High-Density QCD

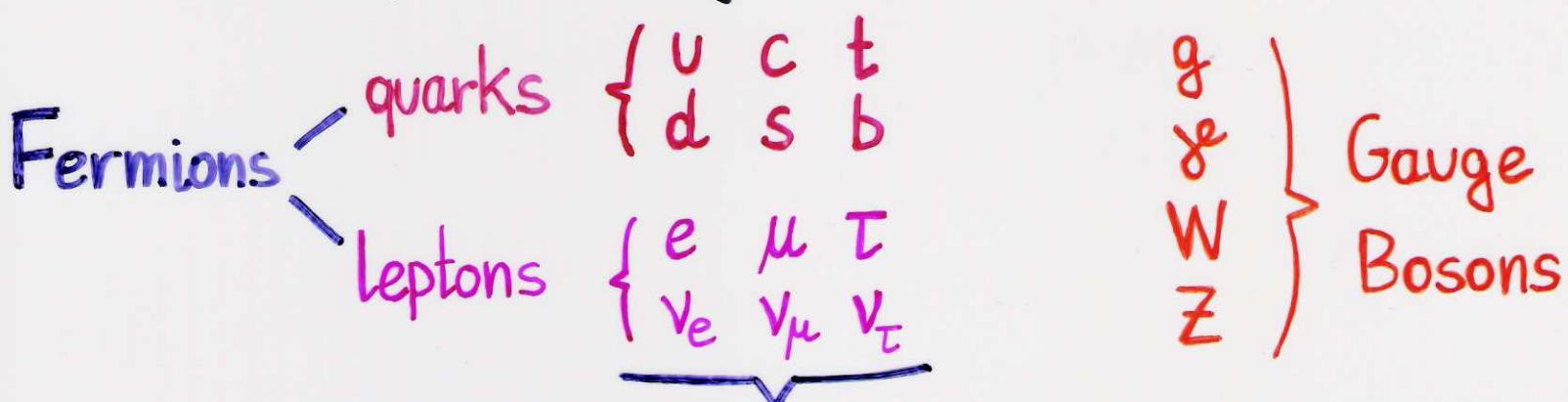
- Color Superconductivity
- Crystalline Phases
- Instanton Ensemble at finite  $\mu_q$

6.) Summary

# 1.) Introduction I :

## The Origin of Mass in Matter

### Elementary Building Blocks :



masses from electroweak gauge-symmetry breaking:

$$m_f \propto \langle 0 | \phi | 0 \rangle \neq 0 \quad (\text{Higgs particle(s)})$$

Stable Matter: only  $e^-$ ,  $u$ ,  $d$  ( $m \approx \frac{1}{2}$ -10 MeV)

$\Rightarrow$  2 Questions:

1. single quarks not observed

$\hat{=}$  'Confinement': only (qqq) baryons  
(q̄q) mesons



proton  $\hat{=}$  (uud)

neutron  $\hat{=}$  (ddu)

$$M_{p,n} \gg m_{u,d}$$
$$1 \text{ GeV} \gg 10 \text{ MeV}$$

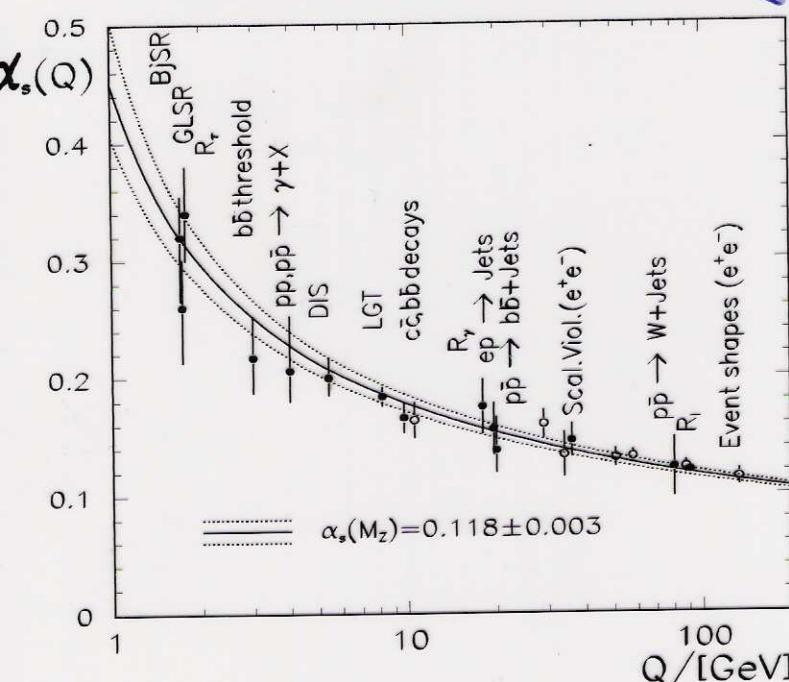
$\hat{=}$  'Chiral Symmetry Breaking'

answers in  
the theory  
of  
Strong  
Interactions

# Intro-II : Quantum ChromoDynamics (QCD)

$$\mathcal{L}_{QCD} = \bar{q} (i[\not{D} - ig\not{A}] - \hat{m}_q) q - \frac{1}{4} G_{\mu\nu} G^{\mu\nu}$$

↳ well-tested at high energies ( $Q^2 > 1 \text{ GeV}^2$ ):



perturbation theory!  
( $p$ QCD)

$$\alpha_s = \frac{g^2}{4\pi} \quad \text{small}$$

degrees of freedom  
≈ elementary  $q, g$

$Q^2 \lesssim 1 \text{ GeV}^2$ : transition to 'strong' QCD

⇒ 2 Main Phenomena:

(1) effective degrees of freedom ≈ hadrons



Confinement

(2) 'constituent' quarks appear to be massive

$$m_q^* \simeq (300-400) \text{ MeV} \simeq \frac{1}{3} M_N$$

} Spontaneous Breaking of Chiral Symmetry

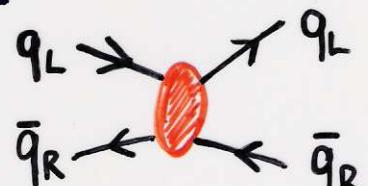
# Intro-III: Chiral Symmetry in QCD

$$\mathcal{L}_{\text{QCD}}^{\text{light}} = (\bar{u}_L, \bar{d}_L) \not{D} \begin{pmatrix} u_L \\ d_L \end{pmatrix} + (\bar{u}_R, \bar{d}_R) \not{D} \begin{pmatrix} u_R \\ d_R \end{pmatrix} + \mathcal{O}(m_{u,d})$$

$\hat{=}$  spin-flavor ('chiral') symmetry:  $SU(2)_L \otimes SU(2)_R$

... and its Spontaneous Breaking

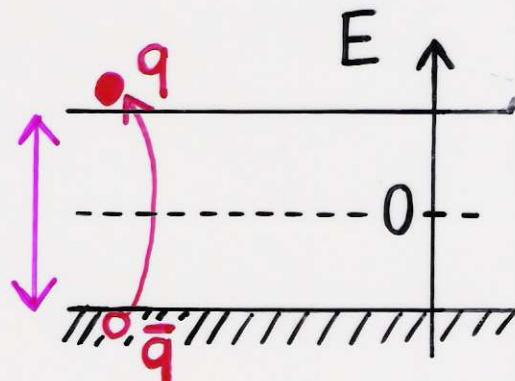
strong attraction in scalar  $q\bar{q}$ -channel



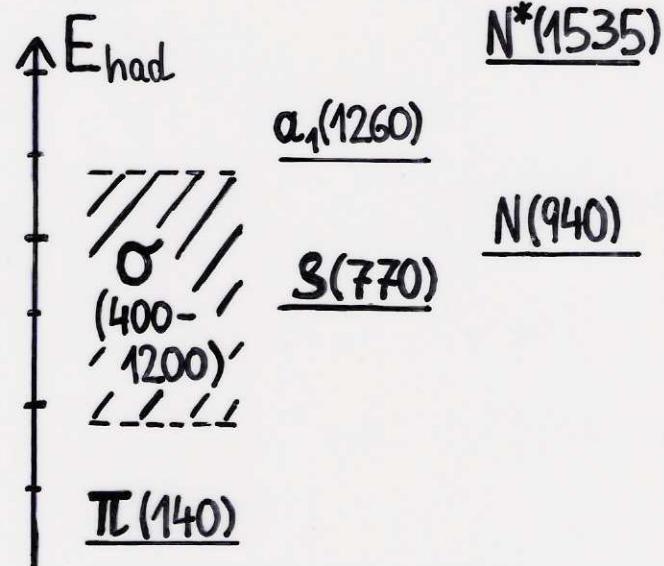
Bose Condensate  $\langle \bar{q}q \rangle \neq 0$  fills QCD vacuum !  
 $= \langle \bar{q}_L q_R + \bar{q}_R q_L \rangle$

## Profound Consequences

- energy gap  $E_{\bar{q}q} \approx 2m_q^* \propto \langle \bar{q}q \rangle$
- massless Goldstone Bosons  $\pi^{\pm, 0}$
- $SU(2)$  chiral multiplets split



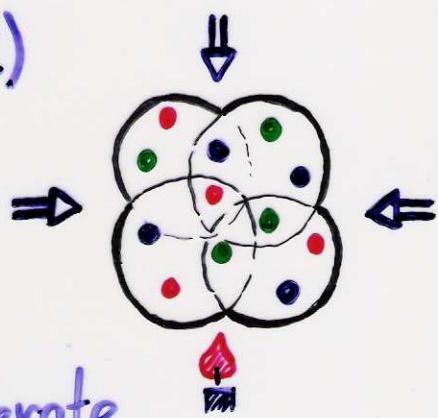
- $J^P = 0^\pm \quad \pi \leftrightarrow \sigma$   
 $1^\pm \quad \sigma \leftrightarrow a_1 \quad \Delta E_h \approx 0.5 \text{ GeV}$   
 $\frac{1}{2}^\pm \quad N \leftrightarrow N^*$



### 3.) Probing the QCD Phase Diagram

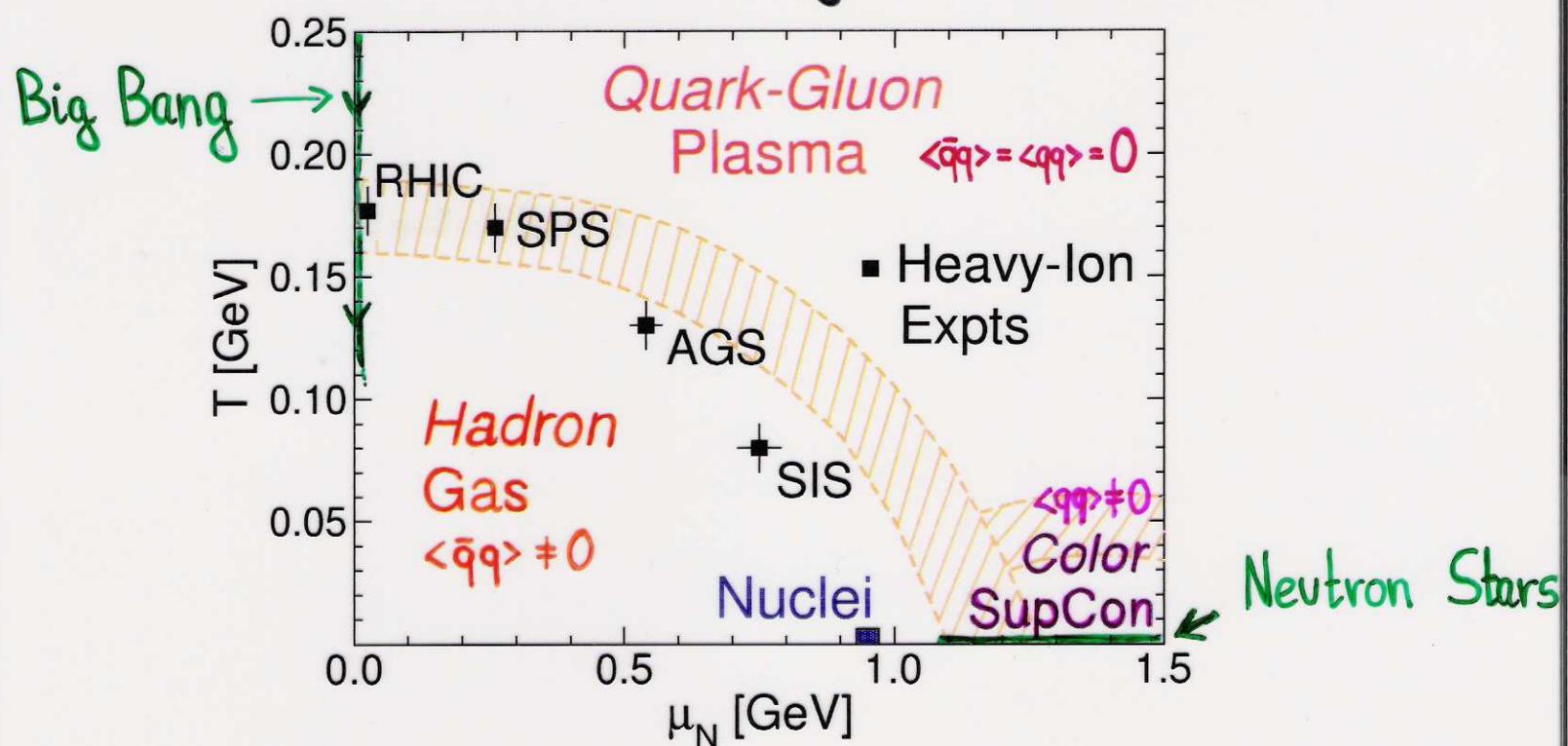
excite vacuum (heat, compress nuclei)

$\downarrow$  finite  $T, \mathcal{G}_B$



- quarks "percolate" + liberated
- $\langle \bar{q}q \rangle$  'melts', chiral multiplets degenerate
- $\Rightarrow$  medium modifications  $\triangleq$  precursors of hadrons chiral symmetry restoration

#### Phase Structure of Strong Interaction Matter



- $\Rightarrow$  heavy-ion collisions ('Little Bang'  $\triangleq 10^{-6}$  s after 'Big Bang')
- $\Rightarrow$  neutron stars  $\rightarrow$  high-density, low-temperature frontier

## 2.) Elements of Finite-Temperature Field Theory

### 2.1. Partition Function

- Analogy to QFT Amplitudes (Vacuum)
- Imaginary Time + Periodicity
- Propagators

### 2.2. Thermodynamic Potential for Free Fields

- Bosons
- Fermions

### 2.3. Interactions

- Mean-Field Approximation
  - + Ground-State Condensates

### References:

- J. Kapusta, "Finite-Temperature Field Theory"  
M. Le Bellac, "Thermal Field Theory"

## 2.1 Finite-Temperature Partition Function.

### (1) Transition Amplitude in Quantum Field Theory (Vacuum)

$$A_{a \rightarrow b}(t) \equiv \langle \phi_b(t) | \phi_a(0) \rangle = \langle \phi_b(t) | \underbrace{e^{-i\hat{H}t}}_{\text{time evolution operator}} | \phi_a(0) \rangle$$

$$\text{time evolution operator } (\hat{H} |\phi\rangle = i \frac{\partial}{\partial t} |\phi\rangle)$$

$$\hat{H} \equiv \int d^3x \mathcal{L}(\hat{\phi}, \hat{\pi}) \quad \text{"coordinates"} \quad \hat{\phi}(\vec{x}, 0) |\phi\rangle = \phi(\vec{x}) |\phi\rangle$$

$$\text{"conjugate momenta"} \quad \hat{\pi}(\vec{x}, 0) |\pi\rangle = \pi(\vec{x}) |\pi\rangle$$

overlap:  $\langle \phi | \pi \rangle = \exp[i \int d^3x \pi(x) \phi(x)] \quad (\langle x | p \rangle = e^{ip \cdot x})$

eliminate operators:

insert complete sets in intervals  $\Delta t$ : ...  $\int d\pi_i d\phi_i |\pi_i\rangle \underbrace{\langle \pi_i | e^{-i\hat{H}\Delta t} | \phi_i \rangle}_{(1-iH_i\Delta t)} \langle \phi_i | \dots$

$$\Rightarrow A_{a \rightarrow b} = \int \mathcal{D}\pi \int_{\phi_a}^{\phi_b} \mathcal{D}\phi \exp[i \int d^4x (\pi \dot{\phi} - \mathcal{L}(\pi, \phi))] \quad \text{Action } S = \int_0^t dt \int d^3x \mathcal{L}(\phi, \dot{\phi})$$

path integral!

### (2) Partition Function in Statistical Mechanics

equilibrium (temperature  $T = 1/\beta$ )  $\leftrightarrow$  steady state

$$\mathcal{Z} \equiv \text{Tr} \exp[-\beta(\hat{H} - \mu \hat{N})] = \int d\phi_a \langle \phi_a | e^{-\beta(\hat{H} - \mu \hat{N})} | \phi_a \rangle$$

no "i"  $\int_0^\beta d\tau \int d^3x (\hat{\mathcal{L}} - \mu \hat{N})$  "imaginary time"  $i\tau \stackrel{\Delta}{=} T$

$$= \int \mathcal{D}\phi \exp \left[ + \int_0^\beta d\tau \int d^3x (\mathcal{L} + \mu N) \right] = \int_{\substack{\text{periodic} \\ \phi(\vec{x}, 0) \stackrel{\Delta}{=} \pm \phi(\vec{x}, \beta)}} \mathcal{D}\phi e^{-S_E}$$

$\uparrow$  euclidean action

# definition of euclidean space-time

$$\left. \begin{array}{l} T \equiv x_4 \equiv i x_0 \\ K_4 \equiv -i k_0 \end{array} \right\} \Rightarrow d^4x = -i d^4x_E$$

$$d^4k = +i d^4K_E = i d^3k dk_4, k^2 = -k_4^2 - \vec{k}^2 = -k_E^2$$

$iS = -S_E$  euclidean ("imaginary time") action

e.g.  $iS = i \int d^4x \frac{1}{2} (\partial_\mu \phi \partial^\mu \phi - m^2 \phi^2)$  (free bosons)

$$-S_E = + \int d^4x_E \frac{1}{2} (-(\partial_\mu^E \phi)^2 - m^2 \phi^2) = - \int d^4x_E \frac{1}{2} ((\partial_\mu^E \phi)^2 + m^2 \phi^2) \leq 0$$

Field (anti-) periodicity  $\phi(\vec{x}, 0) = \pm \phi(\vec{x}, \beta)$  implies

$$\phi(\vec{x}, \tau) = \left(\frac{1}{V}\right)^{\frac{1}{2}} \sum_{n=-\infty}^{+\infty} \sum_{\vec{p}} e^{i(\vec{p} \cdot \vec{x} - w_n \tau)} \phi_n(\vec{p}) \quad \text{with } w_n = \begin{cases} 2n \frac{\pi}{\beta} & \text{for "+"} \\ (2n+1) \frac{\pi}{\beta} & \text{for "-"} \end{cases}$$

"Matsubara frequencies"

## Propagators (Green's Functions)

→ defined as thermal expectation value

$$G(\vec{x}, \vec{y}; \tau, 0) = \mathcal{Z}^{-1} \text{Tr} (\hat{S} T_\tau [\hat{\phi}(\vec{x}, \tau) \hat{\phi}(\vec{y}, 0)]) , \quad \tau \in [0, \beta]$$

density matrix:  $\hat{S} = e^{-\beta \hat{K}} = e^{-\beta (\hat{H} - \mu \hat{N})}$  ( $\mathcal{Z} = \text{Tr } \hat{S}$ )

time-ordering operator:

bosons  $T_\tau [\hat{\phi}(\tau_1) \hat{\phi}(\tau_2)] = \hat{\phi}(\tau_1) \hat{\phi}(\tau_2) \theta(\tau_1 - \tau_2) + \hat{\phi}(\tau_2) \hat{\phi}(\tau_1) \theta(\tau_2 - \tau_1)$

fermions  $T_\tau [\hat{\Psi}(\tau_1) \hat{\Psi}(\tau_2)] = \quad \text{"} \quad - \quad \text{"}$

# Field\_Anti-/Periodicity + Greens Functions

$$G^B(\vec{x}, \vec{y}; \tau, \beta) = Z^{-1} \text{Tr} (e^{-\beta \hat{K}} \hat{\phi}(\vec{y}, \beta) \hat{\phi}(\vec{x}, \tau)) \quad (\tau < \beta)$$

$e^{+\beta \hat{K}} \hat{\phi}(\vec{y}, 0) e^{-\beta \hat{K}}$

$$= Z^{-1} \text{Tr} (\hat{\phi}(\vec{y}, 0) e^{-\beta \hat{K}} \hat{\phi}(\vec{x}, \tau)) = + G^B(\vec{x}, \vec{y}; \tau, 0)$$

Likewise:  $G^B(\vec{x}, \vec{y}; \tau - \beta, 0) = G^B(\vec{x}, \vec{y}; \tau, 0) \iff \underline{\text{Bosons}}$

$$\hat{\phi}(\vec{y}, \beta) = + \hat{\phi}(\vec{y}, 0) \\ \Rightarrow \omega_n^B = 2n\pi T$$

but:  $G^F(\vec{x}, \vec{y}; \tau, \beta) = - G^F(\vec{x}, \vec{y}; \tau, 0) \iff \underline{\text{Fermions}}$

$$\hat{\Psi}(\vec{y}, \beta) = - \hat{\Psi}(\vec{y}, 0) \\ \Rightarrow \omega_n^F = (2n+1)\pi T$$

## 2.2 Thermodynamic Potential for Non-Interacting Particles

Free Energy Density  $\boxed{\frac{F}{V} \equiv \Omega = \frac{(-1)}{V_4} \log Z = \frac{-T}{V} \log Z}$

Pressure  $P = -\frac{\partial F}{\partial V} = -\frac{\partial}{\partial V} (\Omega V) = -\Omega$

entropy density  $S = -\frac{\partial \Omega}{\partial T}$ , energy density  $E = \frac{\partial(\beta \Omega)}{\partial \beta} = -P + TS$

### (1) Free Scalar (Boson) Field

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$$

$$\Rightarrow S_E = \frac{1}{2} \int_0^\beta d\tau \int d^3x \left[ \left( \frac{\partial \phi}{\partial \tau} \right)^2 + (\vec{\nabla} \phi)^2 + m^2 \phi^2 \right]$$

$$= \frac{1}{2} \int_0^\beta d\tau \int d^3x \phi \underbrace{\left[ -\frac{\partial^2}{\partial \tau^2} - \vec{\nabla}^2 + m^2 \right] \phi}_{= \hat{D}_{KG}}$$

$\hat{D}_{KG}$ : Klein-Gordon operator (euclidean)

expand:  $\phi(x, \tau) = \left(\frac{\beta}{V}\right)^{\frac{1}{2}} \sum_n \sum_{\vec{p}} e^{i(\vec{p} \cdot \vec{x} - \omega_n \tau)} \phi_n(\vec{p}) \in \mathbb{R}$

$\Rightarrow$  phases:  $\phi_{-n}(\vec{p}) = \phi_n^*(\vec{p})$

$$S_E = \frac{1}{2} \beta^2 \sum_n \sum_{\vec{p}} (\omega_n^2 + \omega_p^2) A_n(\vec{p})^2$$

$$A_n(\vec{p}) = |\phi_n(\vec{p})|$$

$$\omega_p^2 = \vec{p}^2 + m^2$$

$$\Rightarrow Z_B = \int \mathcal{D}\phi e^{-S_E} = \prod_n \prod_{\vec{p}} \int_{-\infty}^{+\infty} dA_n(\vec{p}) \exp \left[ -\frac{1}{2} \beta^2 (\omega_n^2 + \omega_p^2) A_n(\vec{p})^2 \right]$$

$$= \text{const} \prod_n \prod_{\vec{p}} [\beta^2 (\omega_n^2 + \omega_p^2)]^{-1/2} \quad \text{using} \quad \int dA e^{-xA^2} = \sqrt{\frac{\pi}{x}}$$

$$\Rightarrow \log Z_B = -\frac{1}{2} \sum_n \sum_{\vec{p}} \log [\beta^2 (\omega_n^2 + \omega_p^2)] = -\frac{1}{2} \sum_n \sum_{\vec{p}} \int \underbrace{\frac{d\omega_p^2}{\omega_n^2 + \omega_p^2}}_{= \frac{\beta}{2\omega_p} (1 + 2f^B(\omega_p))}$$

$$\Omega_B = -\frac{T}{V} \log Z_B = T \int \frac{d^3 p}{(2\pi)^3} \left[ \frac{1}{2} \beta \omega_p + \log(1 - e^{-\beta \omega_p}) \right]$$

zero-point ↑                              ↑ thermal

massless limit  
 $-P_0 - \frac{\pi^2}{90} T^4$

## Thermodynamics

$$S = -\frac{\partial \Omega}{\partial T} = \int \frac{d^3 p}{(2\pi)^3} [(1+f^B) \log(1+f^B) - f^B \log f^B]$$

$$E = \frac{\partial(\beta \Omega)}{\partial \beta} = \int \frac{d^3 p}{(2\pi)^3} \omega_p \left[ \frac{1}{2} + f^B \right] \quad \begin{matrix} \\ \Rightarrow (e^{\beta \omega_p} - 1)^{-1} \end{matrix}$$

$$\Omega = -P = E - TS$$

$\frac{4\pi^2}{90} T^3$   
 $E_0 + \frac{\pi^2}{30} T^4$

more compact notation

$$\underline{Z_B} = \int \mathcal{D}\phi e^{-S_E} = \int \mathcal{D}\phi e^{-\frac{1}{2}(\phi, \hat{D}_{KG}\phi)} \quad \begin{matrix} \text{"scalar product"} \\ \text{choose eigenstates} \\ \hat{D}\phi_i = \lambda_i \phi_i \end{matrix}$$

$$= \int d\phi_1 \dots d\phi_n e^{-\frac{1}{2} \sum_i \lambda_i \phi_i^2}$$

$$= \int d\phi_1 e^{\frac{1}{2} \lambda_1 \phi_1^2} \dots \int d\phi_n e^{\frac{1}{2} \lambda_n \phi_n^2} = \frac{\text{const}}{\prod_{i=1}^n \sqrt{\lambda_i}} = \frac{\text{const}}{(\det \hat{D}_{KG})^{1/2}}$$

## (2) Free Spin- $\frac{1}{2}$ Fields (Fermions)

$$\mathcal{L} = \bar{\Psi} (i\cancel{\partial} - m) \Psi \equiv \bar{\Psi} \hat{D} \Psi \quad \text{Dirac operator}$$

$$\text{conjugate momentum} \quad \Pi = \frac{\partial \mathcal{L}}{\partial \dot{\Psi}} = i\Psi^\dagger \equiv \Psi_E^\dagger$$

$$\text{also: } -i\gamma_k \equiv \gamma_k^E, \quad it \equiv T$$

$$\Rightarrow \mathcal{L} = (-i)\bar{\Psi}_E (-\cancel{\partial}_E - m) \Psi_E = -\bar{\Psi}_E (-i\cancel{\partial}_E - im) \Psi_E \equiv -\mathcal{L}_E$$

$$\Rightarrow Z_F = \int \mathcal{D}\Psi_E^\dagger \mathcal{D}\Psi_E e^{-S_E} = \int \mathcal{D}\Psi_E^\dagger \mathcal{D}\Psi_E \exp \left[ - \int dT \int d^3 x (\mathcal{L}_E + i\mu \Psi_E^\dagger \Psi_E) \right]$$

anti-commutation relations for Grassmann fields

$$\{\hat{\Psi}_\alpha(\vec{x}, t), \hat{\Psi}_\beta^+(\vec{y}, t)\} = \delta(\vec{x} - \vec{y}) \delta_{\alpha\beta} ; \quad \{\hat{\Psi}_\alpha, \hat{\Psi}_\beta\} = \{\hat{\Psi}_\alpha^+, \hat{\Psi}_\beta^+\} = 0$$

consequence for functional integration (single state) :

$$\int d\Psi_1 \Psi_1 = \int d\Psi_1 (\Psi_1 + \text{const}) = 1 , \quad \int d\Psi_1 \Psi_1^n = 0 , \quad n \geq 2 \quad ("Pauli Principle")$$

Example: 2+2 states

$$\begin{aligned} \int \mathcal{D}\Psi^+ \mathcal{D}\Psi e^{\Psi^+ \hat{D}\Psi} &= \int d\Psi_1^+ d\Psi_1 d\Psi_2^+ d\Psi_2 e^{\Psi_1^+ D_{ij} \Psi_j} && \text{non-vanishing} \\ &= \int d\Psi_1^+ d\Psi_1 d\Psi_2^+ d\Psi_2 (1 + [\Psi_1^+(D_{11}\Psi_1 + D_{12}\Psi_2) + \Psi_2^+(D_{21}\Psi_1 + D_{22}\Psi_2)] + \frac{1}{2!} [\Psi_i^+ D_{ij} \Psi_j]^2 + \dots) \\ &= \int d\Psi_1^+ d\Psi_1 d\Psi_2^+ d\Psi_2 \frac{1}{2!} [D_{11}D_{22} \Psi_1^+ \Psi_1 \Psi_2^+ \Psi_2 (1 + (-1)^4) + D_{12}D_{21} \Psi_1^+ \Psi_1 \Psi_2^+ \Psi_2 (-1)^3 + (-1)^3] \\ &= (D_{11}D_{22} - D_{12}D_{21}) \underset{\sim}{=} \det \hat{D} \end{aligned}$$

$$\begin{aligned} \Rightarrow Z_F &= \int \mathcal{D}\Psi^+ \mathcal{D}\Psi \exp \left[ - \int_0^\beta d\tau \int d^3x \bar{\Psi} (-i\partial_t - im + i\mu g_4) \Psi \right] && \hookrightarrow \frac{1}{N} \sum_{n, \vec{p}} e^{i(\vec{p} \cdot \vec{x} + \omega_n \tau)} \Psi_p \\ &= \int \mathcal{D}\Psi^+ \mathcal{D}\Psi \exp \left\{ \sum_{n, \vec{p}} \Psi_p^+ \underbrace{\beta [\omega_n - i\mu + g_4 (-\vec{g} \cdot \vec{p} + im)]}_{\equiv D_{\alpha\beta}} \Psi_p \right\} \\ &= \det \hat{D} \end{aligned}$$

$$\begin{aligned} \text{determinant in Dirac-spinor space: } \det_{\alpha\beta} \beta &\begin{pmatrix} (\omega_n + i\mu) + im & + i\vec{\sigma} \cdot \vec{p} \\ i\vec{\sigma} \cdot \vec{p} & (\omega_n + i\mu) - im \end{pmatrix} \\ &= \beta^2 [(\omega_n + i\mu)^2 + m^2 + \vec{p}^2] \mathbb{1}_2 \end{aligned}$$

$$\begin{aligned} \Rightarrow \log Z_F &= \log(\det \hat{D}) = \text{Tr} \log D = 2 \sum_{n, \vec{p}} \log \{ \beta^2 [(\omega_n + i\mu)^2 + w_p^2] \} \\ &= 2 \sum_{\vec{p}} \sum_{n=1}^{\infty} \log \{ \beta^4 [(\omega_p + i\mu)^2 + w_n^2] [(\omega_p - i\mu)^2 + w_n^2] \} \end{aligned}$$

$$\log Z_F = 2V \int \frac{d^3 p}{(2\pi)^3} \left\{ \beta \omega_p + \log(1+e^{-\beta(\omega_p-\mu)}) + \log(1+e^{-\beta(\omega_p+\mu)}) \right\}$$

spin ↑      anti-/particle ↑      thermal ↑      thermal ↑  
 zero-point      particle      antiparticle

## Thermodynamics

$$\Omega = -\frac{T}{V} \log Z = \varepsilon - \mu n - T s$$

$$m, \mu \rightarrow 0$$

$$-P_0 - 4 \frac{7}{8} \frac{\pi^2}{90} T^4$$

$$s = -\frac{\partial \Omega}{\partial T} = -\int \frac{d^3 p}{(2\pi)^3} \left[ (1-f_+) \log(1-f_+) + f_+ \log f_+ \right. \\ \left. + (\mu \rightarrow -\mu) \right]$$

$$4 \frac{7}{8} \frac{4\pi^2}{90} T^3$$

$$\varepsilon - \mu n = \frac{\partial(\beta \Omega)}{\partial \beta} = \varepsilon_0 + \int \frac{d^3 p}{(2\pi)^3} \left[ (\omega_p - \mu) f_+^F + (\omega_p + \mu) f_-^F \right]$$

$$\varepsilon_0 + 4 \frac{7}{8} \frac{\pi^2}{30} T^4$$

$$\text{i.e. } n = \int \frac{d^3 p}{(2\pi)^3} (f_+^F - f_-^F) \quad " (e^{-\beta(\omega_p-\mu)} + 1)^{-1}$$

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## Formal Notation

$$\log Z_B = \log (\det \hat{D}_{KG})^{-\frac{1}{2}} = -\frac{1}{2} \text{Tr} \log (G_B^{-1})$$

$$\log Z_F = \log (\det \hat{D}) = \text{Tr} \log (G_F^{-1})$$

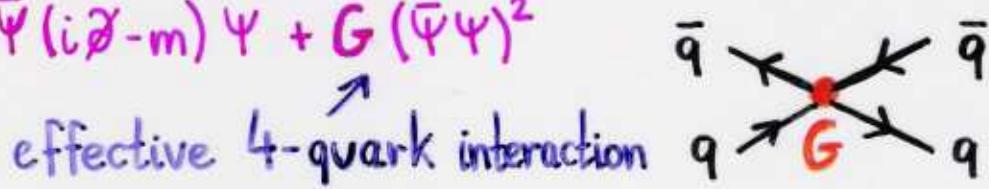
inverse  
propagator

## 2.3 Interactions: Mean-Field Approximation (MFA) + Ground-State Condensates

Nontrivial groundstates for  $T \rightarrow 0$ ,  
(composite) fields develop finite expectation value:

- spontaneous magnetization  $\langle M \rangle (T < T_c) \neq 0$
- "Bose" condensates from Fermion pairing
  - Cooper pairs  $\langle ee \rangle (T < T_c) \neq 0$  (BCS)
  - Chiral Condensate in the QCD vacuum
    - scalar quark-antiquark pairing  $\langle \bar{q}q \rangle (T < T_c) \neq 0$

$$\text{e.g. } \mathcal{L}_{\text{eff}} = \bar{\Psi} (i\cancel{\partial} - m) \Psi + G (\bar{\Psi} \Psi)^2$$



**assume** presence of a "mean field"  $x_0 \equiv \langle 0 | \bar{\Psi} \Psi | 0 \rangle$

and expand around it:  $\bar{\Psi} \Psi = x_0 + \delta(\bar{\Psi} \Psi)$

⇒ linearize interaction term:

$$G (\bar{\Psi} \Psi)^2 \approx G (x_0^2 + 2x_0 \delta(\bar{\Psi} \Psi)) = 2Gx_0 \bar{\Psi} \Psi - Gx_0^2$$

$$\Rightarrow \mathcal{L}_{\text{eff}}^{x_0} = \bar{\Psi} (i\cancel{\partial} - \underbrace{[m - 2Gx_0]}_{\equiv M^*: \text{effective mass}}) \Psi - Gx_0^2$$

$$= \bar{\Psi} (G_F^{\text{eff}})^{-1} \Psi - (M^* - m)^2 / 4G$$

⇒ thermodynamic potential

$$\begin{aligned}\underline{\Omega} &= -\frac{T}{V} \log Z \\ &= -\frac{T}{V} \log [Tr \exp \left\{ \int d^4x \bar{\Psi} (-i\partial^\mu - iM^* + i\mu\gamma_5) \Psi - \beta V \frac{(M^* - m)^2}{4G} \right\}] \\ &= -\frac{T}{V} \log [\det D_{\alpha\beta}^{nn'}] + \frac{(M^* - m)^2}{4G} \\ &= -\frac{T}{V} Tr \log [G_F(M^*)^{-1}] + \frac{(M^* - m)^2}{4G} \\ \boxed{m \rightarrow 0} \quad \boxed{\mu \rightarrow 0} \quad \boxed{T \rightarrow 0} &= -2 N_c N_f \int \frac{d^3 p}{(2\pi)^3} \sqrt{\vec{p}^2 + M^{*2}} + \frac{M^{*2}}{4G}\end{aligned}$$

groundstate :  $\frac{\partial \Omega}{\partial M^*} = 0$

$$\Rightarrow M^* = 4 N_c N_f G \int \frac{d^3 p}{(2\pi)^3} \frac{M^*}{\sqrt{\vec{p}^2 + M^{*2}}}$$

"Gap Equation" for  
Spontaneous Breaking  
of Chiral Symmetry (SBCS)

Solutions :

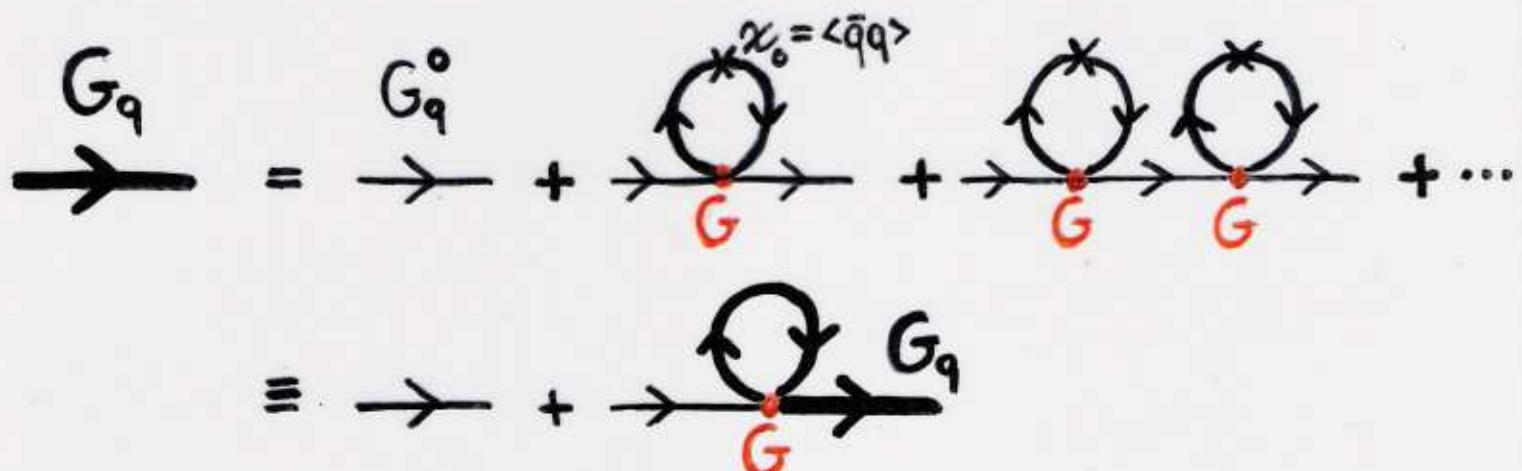
(i)  $M^* = 0$

(ii)  $M^* > 0$  for sufficiently large  $G$

# Alternative Derivation of Gap Equation:

## Dyson Equation

Full Propagator  $\hat{G}$   $\hat{G} \equiv$  iterated "selfenergy" due to interactions with (would-be) condensate



$$G_q = G_q^0 + G_q^0 \underbrace{G x_0 G_q}_{\equiv \Sigma \text{ (selfenergy)}}, \quad G_q^0 = \frac{1}{p - m_q + i\eta}$$

solve for  $G_q$ :

$$(1 - G_q^0 \Sigma) G_q = G_q^0 \Rightarrow G_q = \frac{1}{[(G_q^0)^{-1} - \Sigma]}$$

selfconsistency:

$$\boxed{\Sigma = G \langle \bar{q}q \rangle = G \text{Tr } G_q = G \int \frac{d^4 p}{(2\pi)^4} \text{tr } G_q(p)}$$